



**Kolisch Hartwell**

A Professional Corporation

INTELLECTUAL PROPERTY

Kolisch Hartwell, P.C.  
200 Pacific Building  
520 S.W. Yamhill Street  
Portland, Oregon 97204-1324  
Telephone: (503) 224-6655  
Facsimile: (503) 295-6679

260 Sheridan Avenue, Suite 200  
Palo Alto, California 94306-2009  
Telephone: (650) 325-8673  
Facsimile: (650) 325-5076  
**e-mail: info@khpatent.com**

**RECEIVED**  
**CENTRAL FAX CENTER**  
**FEB 12 2007**

**FACSIMILE TRANSMISSION SHEET**

DATE : February 12, 2007  
TIME : 1:20 p.m.  
OPERATOR : Mandi M. Leighty

TO : ATTN: Group Art Unit 3624  
Commissioner for Patents

FACSIMILE NO : (571) 273-8300

FROM : David P. Cooper

OUR FILE: JRS.302

YOUR REF : U.S. Patent Application Serial No. 10/742,698

THIS FACSIMILE TRANSMISSION IS 3 PAGES (INCLUDING COVER SHEET)

**SPECIAL INSTRUCTIONS:**

☐ ORIGINAL WILL FOLLOW

☐ FIRST CLASS MAIL

☐ AIR MAIL

☐ FEDEX

☐ OVERNIGHT COURIER

☐ EMAIL

☒ ORIGINAL WILL NOT FOLLOW

The information contained in this facsimile message is legally privileged and confidential information intended only for the use of the individual or entity named above. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution, or copying of this facsimile message is strictly prohibited. IF YOU HAVE RECEIVED THIS TRANSMISSION IN ERROR, PLEASE IMMEDIATELY NOTIFY US BY TELEPHONE (COLLECT, IF LONG DISTANCE), and return the original message to us at the above address via the United States Postal Service. Thank you.

IF YOU DO NOT RECEIVE ALL PAGES, OR IF COPIES ARE UNCLEAR,  
PLEASE CALL ABOVE OPERATOR AT (503) 224-6655.

**RECEIVED  
CENTRAL FAX CENTER**

**FEB 12 2007**

PTC/SB/21 (09-06)

Approved for use through 03/31/2007. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1996, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b>	Application Number	10/742,698	
	Filing Date	December 19, 2003	
	First Named Inventor	John Richard Segerstrom	
	Art Unit	3624	
	Examiner Name	Unknown	
<small>(to be used for all correspondence after initial filing)</small> Total Number of Pages in This Submission	2	Attorney Docket Number	JRS.302

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input checked="" type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Kolisch Hartwell, P.C.		
Signature			
Printed name	David P. Cooper		
Date	February 12, 2007	Reg. No.	33,372

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature			
Typed or printed name	Mandi M. Leighty	Date	February 12, 2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

RECEIVED  
CENTRAL FAX CENTER  
FEB 12 2007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

JOHN RICHARD SEGERSTROM

Dated: February 12, 2007

Serial No. : 10/742,698                      Our File: JRS.302  
Filing Date : December 19, 2003              Group Art Unit: 3624  
For : STRATEGIC BUSINESS TOOL AND METHOD  
FOR FINANCIAL INSTITUTIONS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

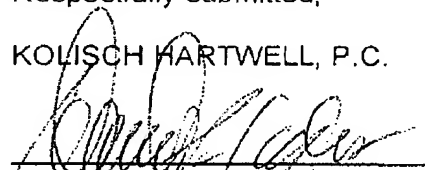
**THIRD STATUS REQUEST**

Applicant respectfully requests a written status report of his above-identified patent application. This request is being made because the application was filed on December 19, 2003 and, to date, no action has been received. Applicant received a reply to his Second Status Request on June 5, 2006 indicating that an Office action was expected in approximately 77 months. Because this date was believed to be an error, applicant's attorney telephoned Group Art Unit 3624 and was informed that the 77 months estimate was incorrect. The U.S. Patent and Trademark Office was in the process of hiring new employees for that Group Art Unit and a new status letter would be sent when a better estimate was available. Applicant has not received a further update since that June 5, 2006 letter.

Therefore, applicant respectfully requests the Examiner to inform the undersigned if an action may be expected soon, and if so, an approximate date.

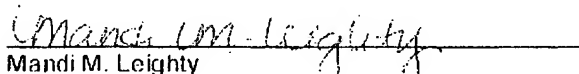
Respectfully submitted,

KOLISCH HARTWELL, P.C.

  
\_\_\_\_\_  
David P. Cooper  
Registration No. 38,372  
PTO Customer No. 23581  
520 S.W. Yamhill Street, Suite 200  
Portland, Oregon 97204  
Telephone: (503) 224-6655  
Facsimile: (503) 295-6679

**CERTIFICATE OF FACSIMILE**

I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office, Group Art Unit 3624, to facsimile number (571) 273-8300 on February 12, 2007.

  
\_\_\_\_\_  
Mandi M. Leighty